Attachment 7

Status of application/Acceptance of other research funds

The Principal Investigator/Research Coordinator (Note 1) is asked for the necessary information to confirm whether the research project applied for falls under unreasonable duplication or excessive concentration of research funds, and whether the effort related to the execution of the research project can be appropriately secured based on the Guidelines for Proper Execution of Competitive Research Funds Scheme (revised on December 17, 2021) (agreement with the relevant ministries and agencies regarding competitive research funds) (hereinafter referred to as “Guidelines”).

Please enter the statuses (distributor name, project name, research subject, implementation period, budget amount, and efforts) of any other research funds (all current research funds that are allocated to individual research contents such as subsidies, grants, joint research funds, contracted research funds, including those overseas (Note 2)) other than the public funds (competitive research funds) currently being received, applied for, or planned to be applied for by the Principal Investigator/Research Coordinator.

However, if it is difficult to submit that information due to unavoidable reasons such as a confidentiality agreement that has already been concluded, only the status of efforts can be submitted. Even in this case, NEDO may make inquiries to the institution to which you belong, if necessary.

If the Principal Investigator/Research Coordinator holds a position at (an)other institution(s) (including side jobs, participations in foreign human resources promotion programs, emeritus professors without an employment contract, etc.) other than the position stated to be held at the time of application, please fill out the information regarding the name/position of the institution(s).

In addition to the information on research expenses and affiliated institutions/positions, please sign a pledge that the Principal Investigator/Research Coordinator is truthfully reporting the information necessary to ensure transparency in all research activities in which they are involved, including support for facilities/equipment other than contributions and funds to the institution to which they belong, based on the relevant regulations.

If the information entered is not true, the application may be canceled at a later date even if it is adopted.

Also refer to the guidelines for public calls: 7. Points of attention (18) Eliminating duplication.

(Note 1)

It refers to project managers and key researchers in the Moonshot Research & Development Program.

(Note 2)

The scope of “other research funds” is defined in the Guidelines as “excluding basic expenses or internal funds that are allocated within the institution to which they belong, commercial activities specified by the Commercial Code, and financing by direct or indirect finance.”

For private companies, Article 5 of the Companies Act stipulates that the acts that the company does as its business and the acts that it does for its business are commercial acts. Therefore, joint research and contract development between private companies are commercial activities and are not included in “other research funds” in the Guidelines.

In addition, “other research funds” does not include funds raised through the securities market by issuing corporate bonds and stocks by private companies or funds raised by borrowing from financial institutions, such as banks.

*(Form for submission)*

*Researcher name: XXXX \*Please submit this form for each corporation*

I “XXXX (researcher name), YY Co., Ltd.” pledge that I will truthfully report the information necessaryto ensure transparency in all research activities in which I am involved, including support for facilities/equipment other than contributions and fundsto the institution to which I belong, in addition to theinformation on research expenses and affiliated institutions/positions shown below, based on the relevant regulations.

**● Research funds**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Partner institution**  **name**  **(country name)** | **Project name/ Research subject name** | **Receipt/ Contract**  **status** | **Project period** | **Budget amount (accepted research funds)** | **Effort**  **(％)** |
| *●● Foundation*  *(Japan)* | *×× project/*  *△△development* | *Under application* | *April 2021*  *－*  *March 2025* | *000 million yen* | *10* |
| *×× Co., Ltd.*  *（United States of America）* | *■■ elemental technology development* | *Under contract* | *April 2018*  *－*  *March 2023* | *000 million yen* | *20* |
| *―* | *―* | *―* | *―* | *―* | *15* |

**● Affiliated institutions/positions (including side jobs, participations in foreign human resources promotion programs, emeritus professors without employment contracts, etc.)**

|  |  |
| --- | --- |
| **Institution name** | **Position** |
| *●× Research Institution* | *Key Researcher* |
| *●● University* | *Emeritus Professor* |
| *×× Co., Ltd.* | *Executive Advisor* |